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Were the 2010 Pakistan floods predictable?

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24 **Abstract**

25 During July 2010, a series of monsoonal deluges over northern Pakistan resulted in
26 catastrophic flooding, loss of life and property and an agricultural crisis that may last for
27 years. Was the rainfall abnormal compared to previous years? Furthermore, could a high
28 probability of flooding have been predicted? To address these questions, regional
29 precipitation is analyzed using three dataset sets covering the 1981-2010 time period. It is
30 concluded that the 2010 average May to August (MJJA) rainfall for year 2010 is
31 somewhat greater than in magnitude to previous years. However, the rainfall rate of the
32 July deluges, especially in North Pakistan was exceptionally rare as deduced from limited
33 data. The location of the deluges over the mountainous northern part of the country lead
34 to the devastating floods. The European Centre for Medium Range Weather Forecasts
35 (ECMWF) 15-day Ensemble Prediction System (EPS) is used to assess whether the
36 rainfall over the flood affected region was predictable. A multi-year analysis shows that
37 Pakistan rainfall is highly predictable out to 6-8 days including rainfall in the summer of
38 2010. We conclude that if these extended quantitative precipitation forecasts had been
39 available in Pakistan, the high risk of flooding could have been foreseen. If these rainfall
40 forecasts had been coupled to a hydrological model then the high risk of extensive and
41 prolonged flooding could have anticipated and actions taken to mitigate their impact.

42 **1. Introduction**

43 Two main factors control South Asian rainfall. On 2-5 year time scales, the El Niño–
44 Southern Oscillation (ENSO) phenomena is associated with above average summer
45 precipitation during a La Niña and deficits during an El Niño [Paolina and Shukla 1983,
46 Kumar et al. 2006]. Far more dramatic and higher amplitude modulations occur on
47 subseasonal time scales. Over much of Asia the summer monsoon is divided into a series
48 of “active” (rainy) and “break” (dry) periods following a roughly 20-40 days cycle
49 [Lawrence and Webster 2001, Webster and Hoyos 2004, Hoyos and Webster 2007]
50 associated with the boreal summer Madden-Julian Oscillation [Madden and Julian 1972]
51 that produce a northeasterly excursion of large-scale convective anomalies under the
52 action of a strong cross-equatorial pressure gradient [Stephens et al. 2004, Wang et al.
53 2005, 2006]. The arrival of convection over the Indian subcontinent heralds an active
54 pluvial period. Summer rainfall in Pakistan is also monsoonal and, as such, has active and
55 break periods. However, the total summer rainfall is far less than in the east (Fig. 1a)
56 decreasing from the Bay of Bengal (16 mm/day) across the plains of northern India (8-10
57 mm/day) to values of about 6-8 mm/day in northern Pakistan. Pakistan is at the western
58 edge of the pluvial region of the monsoon.

59 During the late boreal spring of 2010, the tropical Pacific Ocean entered a La Niña
60 phase and during July 2010 the monsoon over the northern part of the Indian
61 subcontinent was “active” with rainfall extending across the Gangetic Plains between the
62 Bay of Bengal in the east to northern Pakistan in the west (Fig. S1). Embedded in this
63 active period were the deluges that caused the devastating floods in Pakistan. In late July,
64 some Pakistan stations recorded rainfall amounts exceeding 300mm over a four-day

65 period http://www.pakmet.com.pk/FFD/index_files/rainfalljuly10.htm]). During the
66 following days and weeks, flooding extended through the entire Indus Valley eventually
67 reaching the Arabian Sea leaving behind a wake of devastation and destruction. In the
68 end, the death toll was close to 2000 and over 20 million people were affected. An
69 estimated 20,000 cattle were drowned. Power stations and transmission towers were
70 destroyed along with other major infrastructure such as barrages, bridges and roads.
71 Irrigation systems were destroyed and planting of subsequent crops delayed or abandoned
72 with agricultural costs exceeding \$US500M. Overall, estimates of damage exceed
73 \$US40B¹. In general, it was the poor that suffered the most and many of these will face
74 the prospect of intergenerational poverty as a result of the floods (Webster and Jian 2010).
75 Most assessments of the 2010 Pakistan floods have appeared on the internet and in relief
76 organization reports¹. Eventually, scholarly articles on the flooding will be forthcoming
77 discussing, in more detail, the climate and meteorological conditions that produced the
78 flooding. [e.g., Houze et al. 2010]. However, to date there has been an absence of any
79 comment about the predictability of the deluges or the associated risk of floods.
80 Eventually, skill in predicting floods reduces to the predictability of precipitation and the
81 use of an adequately sophisticated hydrological model. Thus, an immediate and critical
82 question is the degree to which rainfall at the western edge of the South Asian monsoon
83 system is predictable on time scales of 1-2 weeks. Is the predictability of precipitation in
84 the western edge of the monsoon comparable to that seen over the Ganges and
85 Brahmaputra basins [Hopson and Webster, 2010; Webster et al. 2010]?

86 In this study we focus on the predictability of 1-15-day ECMWF EPS forecasts

¹ <http://www.pakistanfloods.pk/>, http://en.wikipedia.org/wiki/2010_Pakistan_floods

87 (Buizza et al. 2007) over Pakistan. In the next section details of the observation and
88 numerical model data are introduced. Section 3 discusses the uniqueness of the July-
89 August flooding events and examines the prediction skill of 15-days rainfall forecast
90 followed by conclusions related to the predictability of floods in Pakistan.

91 **2. Data and analysis**

92 Three precipitation data sets are used to assess the variability of the precipitation
93 over the Pakistan region: the Global Precipitation Climatology Project (GPCP) data
94 [Adler et al., 2003] for the 1981-2009 period, the Tropical Rainfall Measuring Mission
95 (TRMM) [Huffman et al. 2005, 2007] TRMM_3B42 product for 1998-2010, and the
96 NOAA CPC Morphing Technique (CMORPH) Precipitation Product for the 2003-2010
97 period [Joyce et al. 2004]. GPCP (a merging of rain gauge data with satellite
98 geostationary and low-orbit infrared and passive microwave information) and TRMM
99 data sets (specifically the TRMM_3B42 set) were chosen for their temporal extension (29
100 and 13 years, respectively). All of these precipitation products had a $0.25^{\circ} \times 0.25^{\circ}$
101 horizontal resolution facilitating a comparison with model output. Figure S4 shows time
102 series of monthly rainfall anomalies for each of the data sets.

103 A comparison of the CMORPH and TRMM data sets (Figs. S3) reveals considerable
104 differences in the magnitude of estimates of precipitation during the third precipitation
105 pulse of July 2010 that occurred over the higher terrain of northern Pakistan (panel 3, Fig.
106 S2d). The TRMM rainfall estimate was considerably higher than CMORPH by about a
107 factor of two consistent with the discussion of Gopalan et al [2010] who suggested that
108 TRMM may overestimate precipitation rates over substantial terrain. Comparisons during

109 earlier periods, when the precipitation maxima occurred over the plains of southern
110 Pakistan and northwestern India are more comparable (Fig. S2). Consequently, we use
111 CMORPH as the principal data set for determining the sequence of events during 2010
112 and also as the principal agent for the statistical rendering of the quantified precipitation
113 forecasts.

114 The ECMWF EPS forecasts consist of 51 ensemble members initialized twice per
115 day (00 and 12 UT), each ensemble member having a 15-day forecast horizon. The
116 horizontal resolution of the model is 50 x 50 km from 0 to 10 days and then 80 km x 80
117 km from day 10-15 [Buizza et al. 2007]. For this initial study, model forecast
118 precipitation for the months of July and August from 2007 to 2010 was converted into
119 daily cumulative amounts. To minimize systematic model bias differences between the
120 distributions of the ECMWF forecasts and the observed rainfall, a quantile-to-quantile
121 (q -to- q) mapping technique was implemented following Hopson and Webster [2010] and
122 Webster et al. [2010] (see method description in supplementary document). All rainfall
123 forecasts presented here are adjusted using the q -to- q technique.

124 **3. Results:**

125 Beginning in early July 2010, there were six major pulses of torrential rainfall
126 occurring over Pakistan, each separated by about a week (Fig. 1b). One of the most
127 intense periods occurred between July 27-30 over the mountainous regions of the north.
128 Figure S2 shows the distribution of rainfall for the major pulses of monsoon rain. The
129 earlier rainfall events caused flooding in Balochistan in central Pakistan. Flooding
130 followed across northern Pakistan in the Kyhber-Paktunkhwa province with the later July

131 rains extending to the Punjab in late July/early August². Here we address the uniqueness
132 and predictability of the floods.

133 **3.1 Uniqueness:**

134 There have been 67 reported flooding events in Pakistan occurring since 1900 with a
135 clustering of 52 events of various severity in the last 30-40 years³. Some of these events
136 (e.g., 1950, 1973, 1976, 1977, 1992, 2001, 2007 and 2008) were also accompanied with
137 large loss of life and property. This recent increase is consistent with the increase in
138 intensity of the global monsoon accompanying the last three decades of general global
139 warming [Wang et al. 2010] or perhaps changes in water management strategies,
140 increases of damage due to a rapidly growing population or improved reporting through
141 advances in communication.

142 Figure S4 shows the temporal variability of seasonal (MJJ) precipitation averaged
143 in Pakistan [62°-74°E, 24°-36°N, blue rectangle in Fig. 1a] and northern Pakistan [70°E-
144 74°E, 30°N-36°N, red rectangle in Fig. 1a] relative to the seasonal climatology for each
145 of the data sets: GPCP and CMORPH. While there are amplitude differences between
146 datasets, each shows substantial variability, with seasons of excessive rainfall and
147 drought occurring irregularly over the past 30 years (Fig. S3 and Fig. S4).

148 Were the rainfall events of 2010 worse than previous extreme events? Using a 13-
149 year TRMM precipitation record, extreme events can be counted. An extreme event is
150 defined here to occur when the two-days accumulated rainfall exceeds over 10 mm over

² http://unosat.web.cern.ch/unosat/asp/prod_free.asp?id=85

³ International Disaster Data Base, <http://www.emdat.be>

151 all Pakistan and 20 mm over the northern Pakistan (Fig. 1c-d). Note that the chosen
152 thresholds for this analysis are much smaller than maximum daily rainfall measurements
153 at specific stations (see http://www.pakmet.com.pk/FFD/index_files/rainfalljuly10.htm)
154 due to a broader averaging area. Although there is considerable interannual variability,
155 the number of extreme events over entire Pakistan, so defined, is larger in 2010 than in
156 previous years, greater, for example than in 2008. In summary, 2010 stands out as a
157 period of above average rainfall events over northern Pakistan. The number of extreme
158 events over northern Pakistan is far more unique which, based on the very limited
159 TRMM data set would have return periods of > 30 years. Long-term variability for
160 extreme events is calculated with GPCP pentad data set from 1981 (Fig. S5) to 2007
161 overlapped with CMORPH pentad from 2003 to 2010. Although, there are differences
162 between data sets, the high occurrence of Northern Pakistan extreme events in 2010 is
163 relatively rare. Rainfall data is not sufficiently reliable prior to 1987 when GPCP data
164 was generated on a daily basis. However, we do have CMORPH and TRMM data for
165 2008. As shown in figure S6, the cumulative July- August rainfall for northern Pakistan is
166 larger in 2010 than 2008, with values larger than 0.5 m in several areas.

167 **3.2 Predictability:**

168 The next step is to examine the predictability of the rainfall events depicted in Figure 1b.
169 Figure 2a shows the total average precipitation [mm/day] for July 28-29, based on the
170 CMORPH observational dataset and the ECMWF forecast ensemble mean initialized 4
171 days before the event (Fig. 2b). The *q-to-q* correction was applied to the precipitation
172 forecast data. The forecasts compare well with the observed rainfall with ECMWF
173 slightly underestimating the rainfall intensity in the northern part of the region. The

174 ECMWF forecast showed average precipitation larger than 40 mm/day in some areas
175 which is over 3 times larger than the CMORPH climatological average for the region.

176 Figure 2c and 2d shows the temporal evolution of the ECMWF forecast commencing
177 on 22nd and 24th July, 2010 through August 9, 2010 for the Khyber-Pakhtunkhwa
178 province, located in the north west of the country (red rectangle in Fig. 1a). The diagram
179 shows the probability distribution of precipitation based on the 51 ensemble members
180 with the ensemble mean plotted as the black dotted line. The blue line represents the
181 CMORPH observed rainfall. Good predictive skill of the July 28-29 event is found up to
182 6 days in advance. The same analysis done for various other monsoon pulses have
183 resulted in similar conclusions (Fig. 3).

184 Figure S7 shows an assessment of precipitation predictability in northern Pakistan
185 using all available hindcast data. Predictability is shown as correlations between
186 predicted and observed CMORPH rainfall values as a function of lead time for July based
187 on 2007-2010 period. Note that for 2007, the model prediction extends only up to 10
188 days but up to 15 days for the 2008- 2010 period. Correlations ≥ 0.7 were found for
189 predictions 5 days in advance indicating useful predictive skill. Thus, the quantitative
190 rainfall forecasts could be used as a robust variable in a flood forecasting scheme for
191 Pakistan region.

192 In order evaluate whether the model can provide useful information with regards to
193 the actual severity of the major rainfall events of July-early August 2010, all ECMWF
194 forecasts made during the period were extracted and bias corrected. Then, the probability
195 that the predicted rainfall would exceed the observed climatological average plus 1

196 standard deviation was computed. In other words, for each forecast, at each lead time, the
197 percentage of ensemble members exceeding the threshold was computed. The
198 exceedance threshold is calculated using 2003- 2010 CMORPH data, with mean and
199 standard deviation based on July-August daily average data. Results are shown in Figure
200 3 as shaded contours. The blue line represents the observed CMORPH rainfall averaged
201 for the same region and the same time period. For example, the July 28 event was
202 predicted almost 8 days in advance with a probability >60% over the climatological
203 average plus 1 standard deviation (Fig. 3). All the other events appear to have similar
204 skill at the 8 to 10 day horizon.

205 **4. Conclusions**

206 From a climatological perspective, July and August precipitation rates were above
207 average in Pakistan although not exceptionally so. However, in terms of rainfall rate, the
208 monsoon pulses were extreme events compared to other years in the period 1998-2010.
209 The devastating flooding occurred from a conspiracy of events. The summer of 2009 was
210 a severe drought period with rainfall well below
211 average. (<http://www.pakmet.com.pk/monsoon2009ver.pdf>) so that vegetation may have
212 been sparser during 2010. The region is mountainous with steep valleys and ridges.
213 Furthermore, deforestation in northern Pakistan has been severe [e.g., Ali et al. 2006].
214 Deforestation and sparse undergrowth would exacerbate runoff through the steep valleys
215 of the heavy rains that occurred during the month of July and early August.

216 The major result of the study is that the heavy rainfall pulses throughout July and
217 early August were predictable with a high probability 6-8 days in advance. If these

218 forecasts had been available to the regions of northern Pakistan, government institutions
219 and water resource managers could have anticipated rapid filling of dams, releasing water
220 ahead of the deluges. A high probability of flooding could have been anticipated.

221 Finally, it appears that Pakistan would benefit from a hydrological forecasting
222 scheme similar to that developed for Bangladesh [Hopson and Webster 2010, Webster et
223 al. 2010]. The Bangladesh system incorporates the same form of statistically rendered
224 ensemble precipitation forecasts as discussed above but coupled to a hybrid hydrological
225 model. Working with Government of Bangladesh authorities, these 10-day river forecasts
226 were communicated to the union (county) and village level allowing time to prepare for
227 the floods for three major Brahmaputra floods during 2007/8 allowing the saving of
228 household and agricultural effects and the successful evacuation of those in peril [ADPC
229 2009, Webster et al. 2010, Webster and Jian 2010].

230

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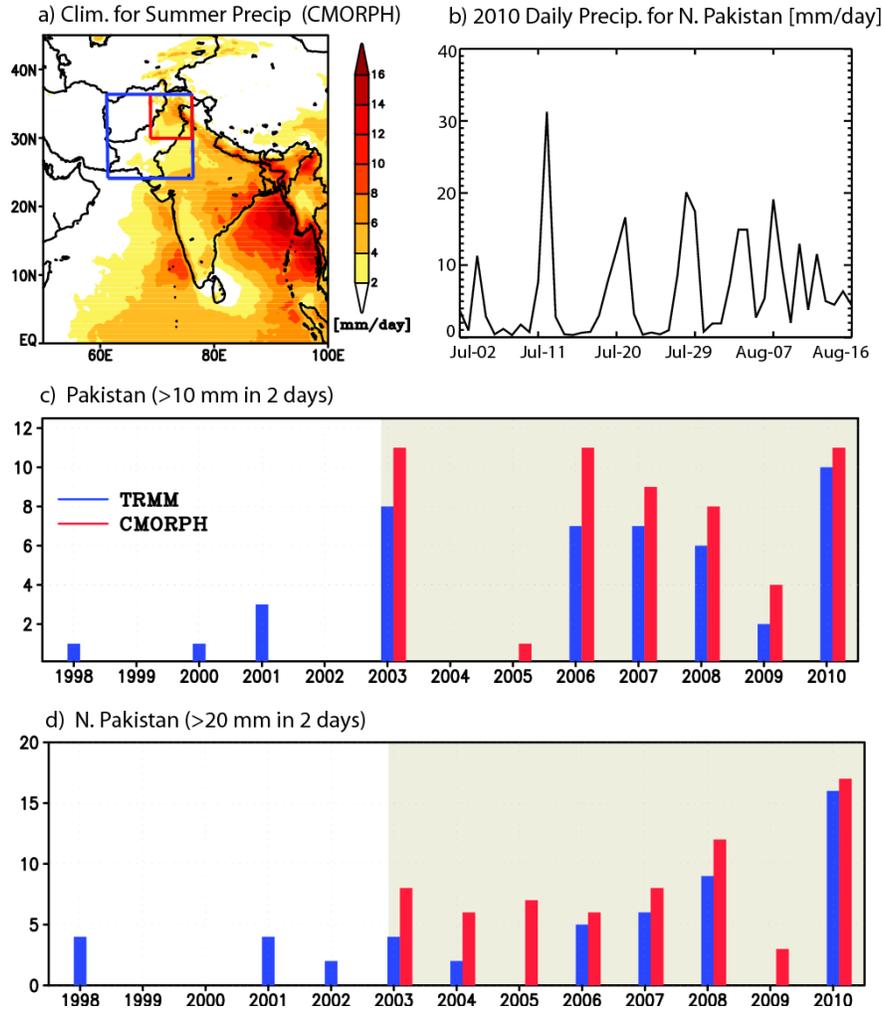
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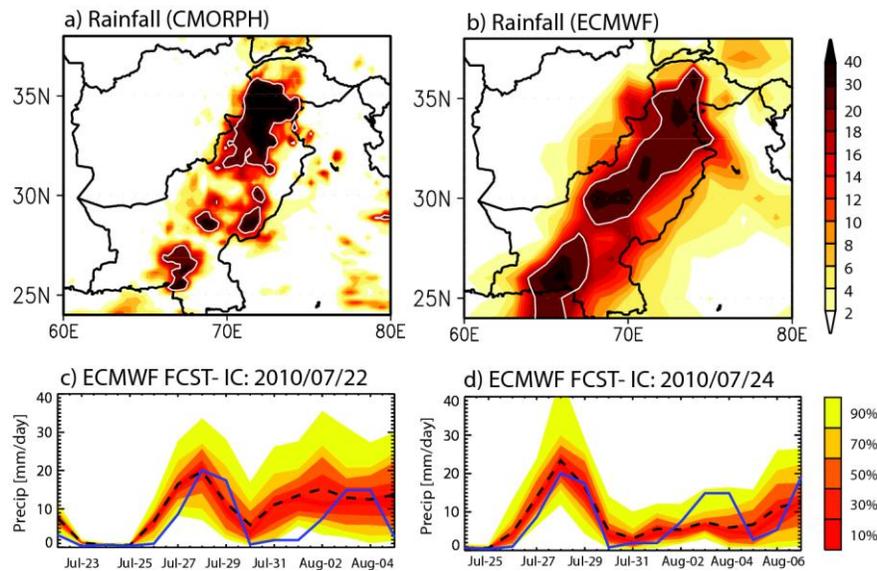
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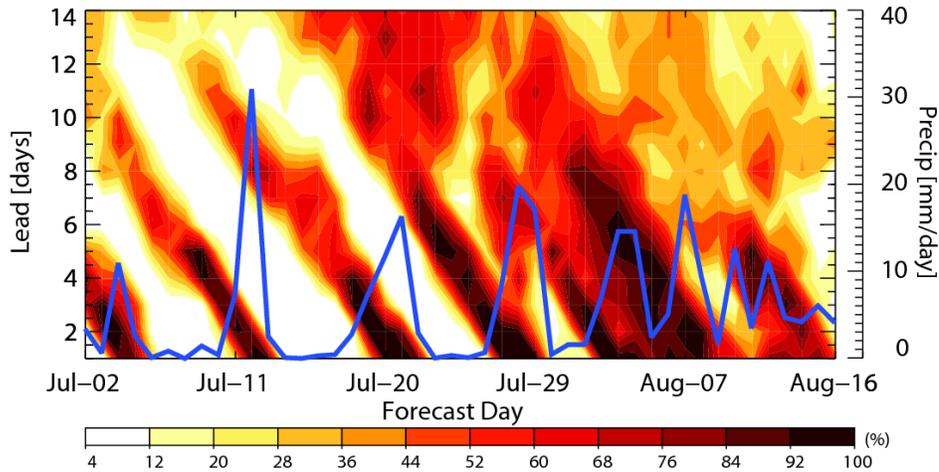
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307 Figure 1. a) May-August CMORPH precipitation [mm/day] climatology for 2003-2010.
 308 b) The observed CMORPH rainfall averaged for the Northern Pakistan [70°N-74°N;
 309 30°E-36°E, red rectangle]. c)-d) Number of heavy rainfall events over the summer
 310 (May-August) in TRMM (blue) and CMORPH (red). The events are defined when
 311 two-day accumulated rainfall exceeds (c)10 mm over entire Pakistan [62°N-74°N;
 312 24°E-36°E, blue rectangle in Fig. 1a] and (d)20 mm over the northern Pakistan
 313 [70°E-74°E, 30°N-36°N, red rectangle in Fig.1a]. Years with available CMORPH
 314 data are shaded in gray.



316

317 Figure 2. Total precipitation [mm/day] for a) CMORPH over 28-29 July 2010 and b)
 318 ECMWF ensemble mean of the forecast initialized four days previously (July 24,
 319 2010) for the same time period. White contour shows 20 mm/day. c) ECMWF 15-
 320 day forecast of the precipitation [mm/day] in the red rectangle (Fig. 1a) initialized on
 321 July 22nd, and (d) 24th, 2010. Black dashed line shows the ensemble mean. Colored
 322 shading depicts the probability of precipitation rate based on the 51 ensemble
 323 members. Dark blue line represents the observed CMORPH precipitation averaged
 324 for the same region.



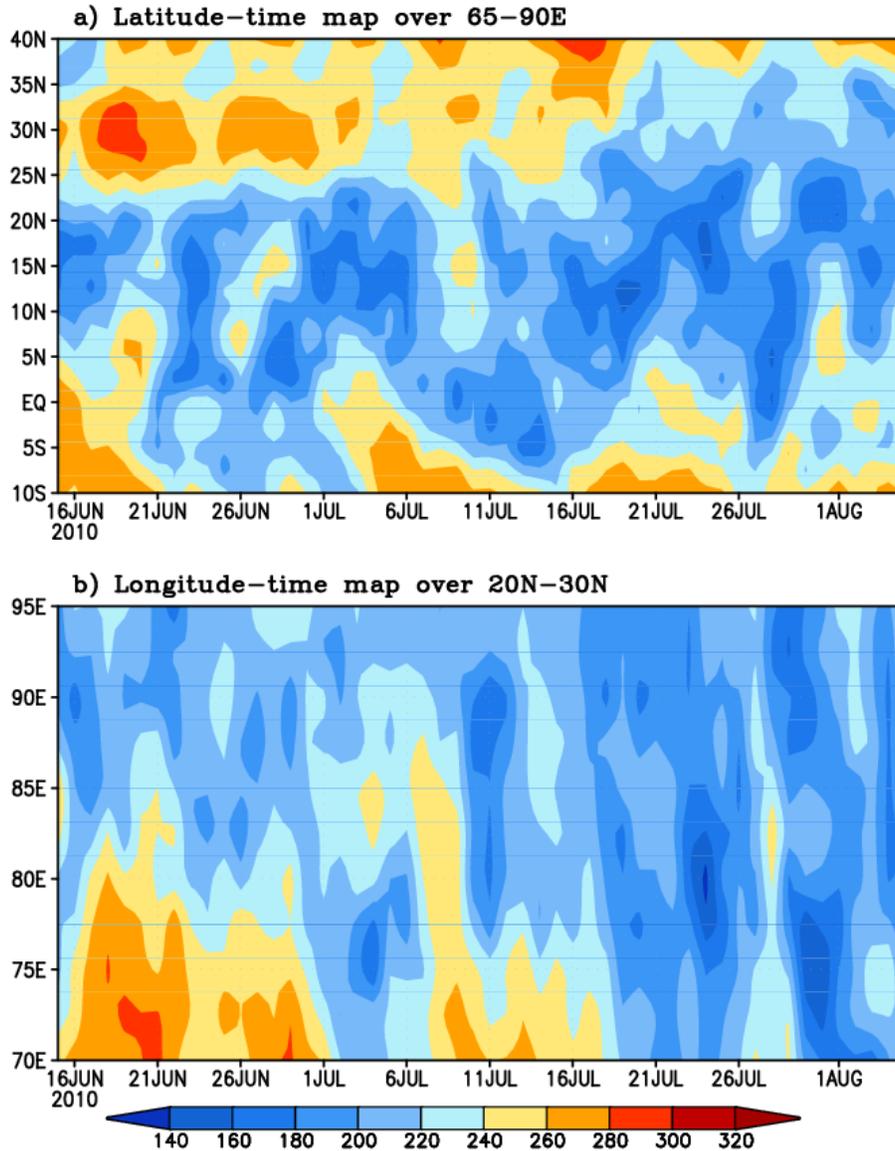
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326 Figure 3. Forecast lead time diagram of the probability that the ECMWF forecast for the
 327 red region (Fig. 1a) exceeds the observed CMORPH July-August climatology plus 1
 328 standard deviation. The blue line represents the observed CMORPH rainfall
 329 [mm/day] averaged for the same region and the same time period (units on the left
 330 axis). The July 12 and June 21 events were forecast at 50% probability level to
 331 exceed observed climatology plus 1 standard deviation, at least 10 days in advance.
 332 An additional 2 days of predictability is evident at the 50% level for the July 28 event.
 333 All events were forecast at >70-80% level of probability 6 days in advance. Note that
 334 the ECMWF forecast is adjusted using the *q-to-q* technique.

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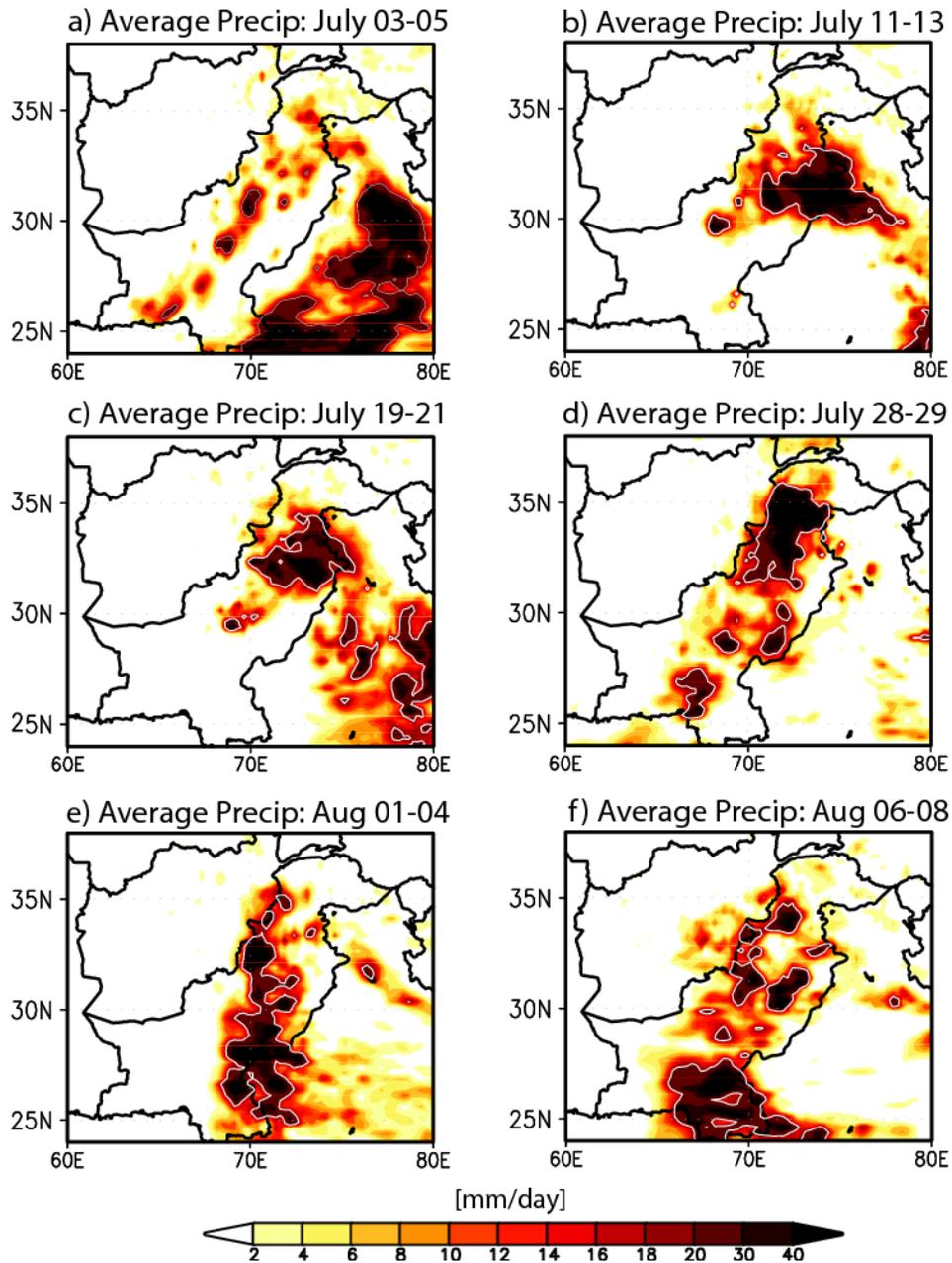
336 **Auxiliary Material:**

337 Quantile-to-quantile bias correction: To minimize systematic model bias between the
338 ECMWF forecasts and the observed rainfall, a quantile-to-quantile (*q-to-q*) mapping
339 technique was implemented following Hopson and Webster [2010] and Webster et al.
340 [2010]. Specifically, two sets of cumulative density functions (CDFs) were constructed,
341 one for the observed CMORPH data and the second for the forecasts field, at each lead
342 time (e.g., 24 hr, 48 hr, and etc.) arranged in ascending quantiles. CDFs were constructed
343 for each point in the domain of interest at a common $1^\circ \times 1^\circ$ resolution. The quantile of the
344 CMORPH CDF was matched to the corresponding quantile of the forecast field, at each
345 lead time, providing a correction $a(x,y)$ such that the observed precipitation was mapped
346 against the forecast data quantile as $p_i(\text{observed}) = a(x,y)p_i(\text{modeled})$ where p_i is i^{th}
347 quantile of precipitation for the observed and modeled fields. The mapping is applied to
348 all the 51 ensemble members for the period May to August, in the 2007-2009 period. The
349 result of the *q-to-q* correction system is a quantile correspondence between the model and
350 the observed precipitation. This method has the advantage of producing the same number
351 of no-rain events as the observations. All modeled result presented in this paper are bias
352 corrected using the *q-to-q* technique.



353

354 **Auxiliary Material Figure S1:** a) Latitude-time (averaged over 65-90°E) and b)
 355 Longitude-time (averaged over 20-30°N) cross section for observed outgoing
 356 longwave radiation [OLR, W/m^2] during June-July 2010. Low values of OLR are
 357 indicative of a measure of deep convection. OLR data is from NOAA
 358 (http://www.esrl.noaa.gov/psd/data/gridded/data.interp_OLR.html). Note the
 359 northward propagation for the equatorial regions to 20-30°N (panel a) and the east to
 360 west propagation across northern India and Pakistan (panel b).

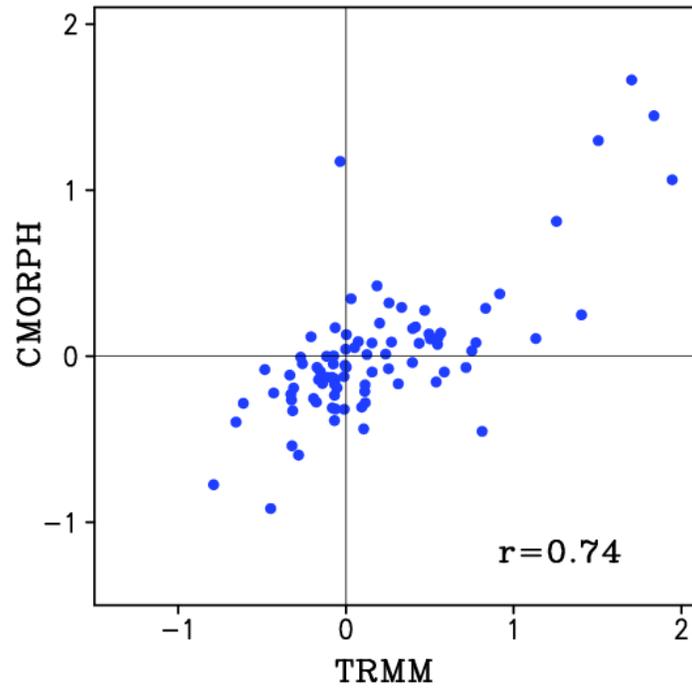


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363 **Auxiliary Material Figure S2:** Observed CMORPH precipitation [mm/day] for the 6
 364 monsoon pulses during July-August 2010. White contours outline precipitation
 365 exceeding 20 mm/day.

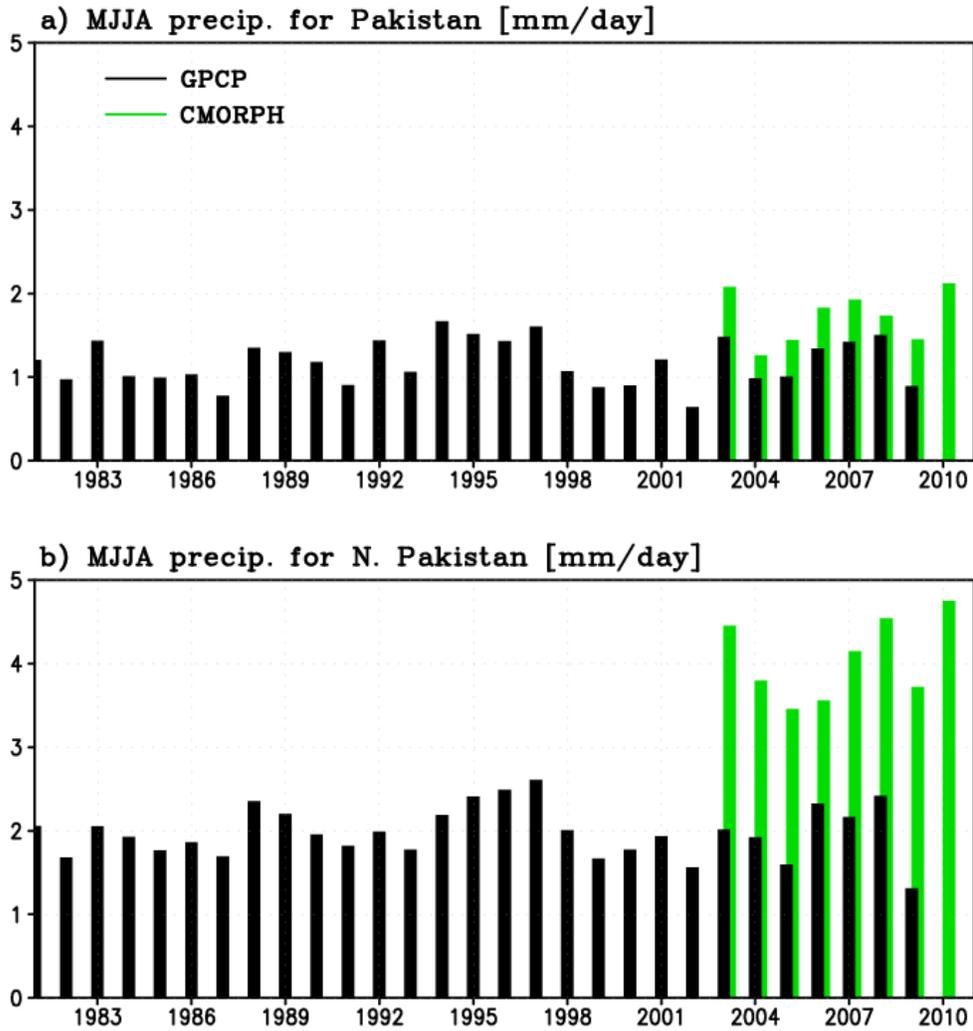
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368 **Auxiliary Material Figure S3:** Scatter diagram of monthly precipitation anomaly
369 (mm/day) between CMORPH and TRMM over the period from 2003 to 2010.
370 Correlation coefficient between two variables is 0.74.

371



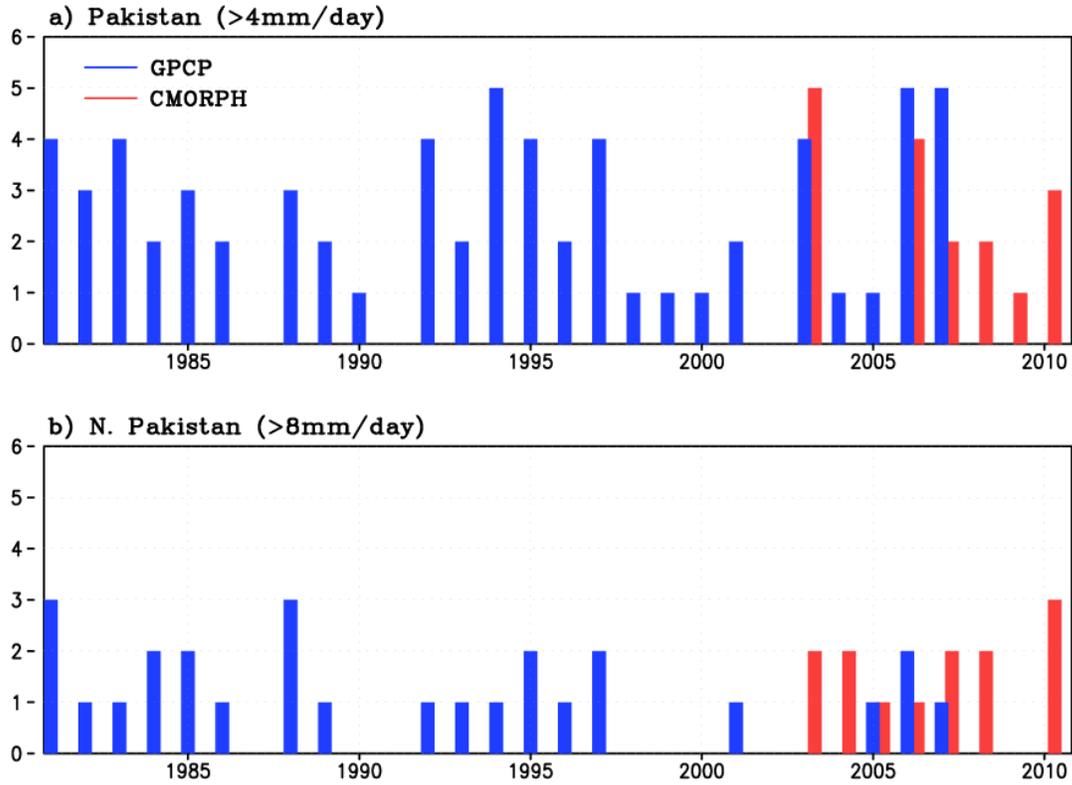
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374 **Auxiliary Material Figure S4:** Seasonal mean (MJJA) precipitation [mm/day] for GPCP

375 (black) and CMORPH (green) averaged over (a) the Pakistan and (b) northern

376 Pakistan.

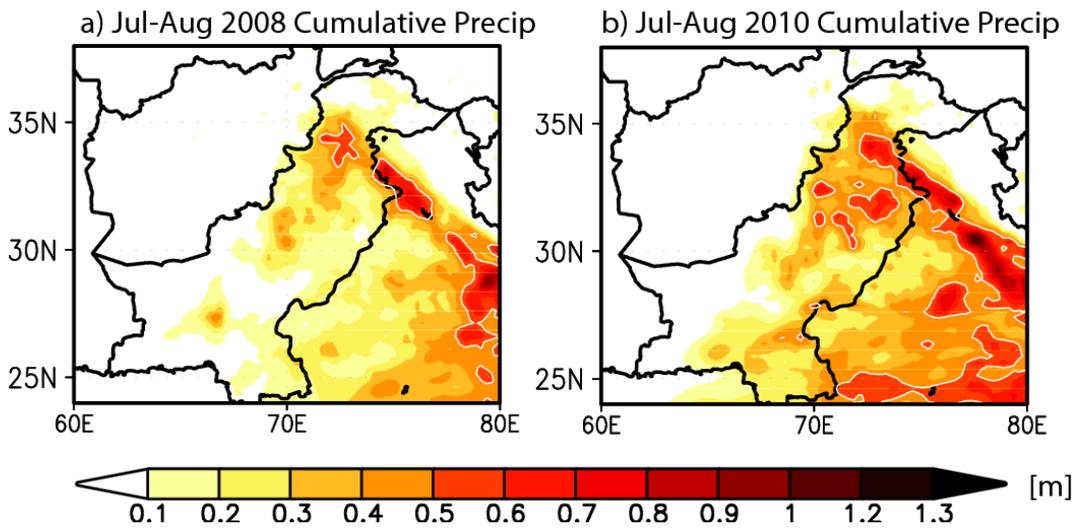
377



378

379 **Figure S5.** Number of heavy rainfall events over the summer (May-August) in GPCP
 380 (blue) and CMORPH (red). The events are defined when pentad rainfall exceeds (a)
 381 4 mm/day over entire Pakistan and (d) 8mm/day over the northern Pakistan.

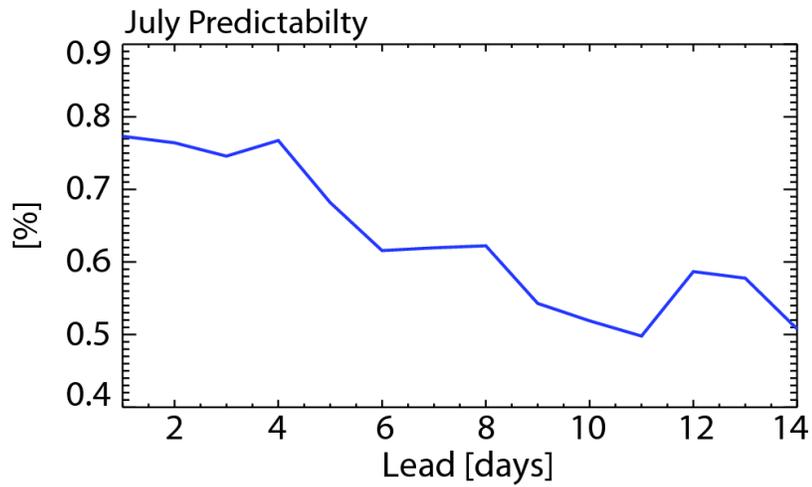
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383

384 **Auxiliary Material Figure S6:** Observed July-August cumulative CMORPH
385 precipitation [m] for years 2008(a) and 2010(b). White contours outline total
386 precipitation exceeding 0.5 m.

387



389

390 **Auxiliary Material Figure S7:** Overall estimates of the predictability of precipitation in
 391 the Pakistan region [blue rectangle in Fig.1a] versus lead-time for July based on
 392 15-day forecasts from 2007-2010. Correlations between ECMWF ensemble mean
 393 forecast and observed CMORPH rainfall are presented. 124 (31 days x 4 years)
 394 forecasts are used for lead 1 to 10 and 93 (31days x 3 years) forecasts are used for
 395 lead 11 to 14, since the 2007 model prediction extends only up to 10 days.

396